

Training

Financial services regulation is constantly changing, evolving and increasingly complex. As a result, firms and their employees face the constant challenge of keeping abreast with regulatory requirements.

This challenge can be addressed through our regulatory and compliance training services designed to assist firms keep up-to-date with regulatory developments. Our courses are practical and provide comprehensive guidance on current regulatory obligations, principles, best practice and its implementation.

Our consultants, who combine significant practitioner knowledge with regulator experience deliver the training. These diverse experiences enable us to deliver training that addresses the specific regulatory challenges and issues faced by our clients.

Our training courses include the following:

- Introduction to the regulatory environment
- Introduction to the Compliance Officers role
- Anti Money Laundering Customer Due Diligence
- Regulatory Refresher
- Senior management responsibilities
- Risk based compliance monitoring

Case Study

Our client, the regulator of Sub Sahara Africa's the largest stock exchange, engaged OpenSpaces to deliver a risk based compliance monitoring training course to over 150 delegates over a period of four days as part of its regulatory reform programme. A risk based compliance monitoring course suitable to the local regulatory environment and benchmarked to global best practice in compliance was designed and delivered to the delegates. We also provided a similar training to the regulator's enforcement team.



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